# Cache coherence protocol level fault-tolerance for directory-based tiled CMPs

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Abstract— Current technology trends of increased scale of integration are pushing CMOS technology into the deep-submicron domain, enabling the creation of chips with a significantly greater number of transistors but also more prone to transient failures. Hence, computer architects will have to consider reliability as a prime concern for future chipmultiprocessor designs (CMPs). Since the interconnection network of future CMPs will use a significant portion of the chip real state, it will be especially affected by transient failures. We propose to deal with this kind of failures at the level of the cache coherence protocol instead of ensuring the reliability of the network itself. Particularly, we have extended a directory-based cache coherence protocol to ensure correct program semantics even in presence of transient failures in the interconnection network. Additionally, we show that our proposal has virtually no impact on execution time with respect to a non faulttolerant protocol, and just entails modest hardware and network traffic overhead.

Keywords: Fault-tolerance, coherence, CMPs.

# I. INTRODUCTION

RECENT technology improvements have made possible to put more than a billion transistors in a single chip. Compared to other options, Chip Multiprocessors (CMPs) [1,2] offer a way to utilize these resources to increase performance in an energyefficient way while keeping complexity manageable by means of exploiting thread-level parallelism.

Also, tiled architectures [3] which are built by replicating several *tiles* comprised by a core, private cache, part of the shared cache and a network interface further help in keeping complexity manageable, scale well to a larger number of cores and support families of products with varying number of tiles. In this way, it seems likely that they will be the choice for future many-core CMP designs.

Tiled CMPs implement a point-to-point interconnection network which is best suited for directorybased cache coherence protocols. Furthermore, compared with snoopy based or token-based [4] protocols which require frequent broadcasts, directory-based ones are more scalable and energy-efficient.

On the other hand, electronic components are subject to several types of failures, which can be either permanent, intermittent or transient. Transient failures [5], also known as soft errors or single event upsets, occur when a component produces an erroneous output but continues working correctly after the event. Any event which upsets the stored or commu-

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<sup>2</sup>Dpto. Informática de Sistemas y Computadores, Universidad Politécnica de Valencia, e-mail: jduato@disca.upv.es nicated charge can cause soft errors. Typical causes include alpha-particles strikes, cosmic rays, radiation from radioactive atoms which exist in trace amounts in all materials, and electrical sources like power supply noise, electromagnetic interference (EMI) or radiation from lightning. The same technology trends of increased scale of integration which make CMPs possible will make transient failures more common. Also, the lower voltages used for power-efficiency reasons make transient failures even more frequent.

One of the components which will be affected by transient failures in a CMP is the interconnection network. The interconnection network occupies a significant part of the chip real estate and is critical to the performance of the system. It handles the communication between the cores and caches, which is done by means of a cache coherence protocol. Communication is very fine-grained (at the level of cache lines) and requires small and frequent messages. Hence, to achieve good performance the interconnection network must provide very low latency and should avoid acknowledgment messages and other flow-control messages as much as possible.

Differently from other authors, we propose to deal with transient failures in the interconnection network of CMPs at the level of the cache coherence protocol. In a previous work [6], we showed that a token-based coherence protocol can be extended to tolerate transient failures. In this work, we apply some of the lessons learned there to guarantee fault tolerance in widely used directory-based protocols.

In our failure model we assume that the interconnection network will either deliver a message correctly or not at all. This can be achieved by means of using an error detection code (CRC) in each message and discarding corrupted messages upon arrival.

Our cache coherence protocol extends a standard directory-based coherence protocol with fault tolerant measures: the integrity of data when cache lines travel through the network is ensured by means of explicit acknowledgments out of the critical path of cache misses, a number of timeouts to detect faults are added alongside with the ability to reissue some requests to avoid deadlocks due to transient faults, and request serial numbers are added to ensure correctness when requests are reissued.

Although the cache coherence protocol is critical to the performance of parallel applications, we show that the fault tolerance measures of our protocol add minimal overhead in terms of execution time. The main cost of our proposal is a slight increase in network traffic due to extra acknowledgments

The rest of the paper is organized as follows. The

base architecture and cache coherence protocol are described in section II. Section III explains the fault tolerance measures added. A performance evaluation of the new protocol is done in section IV. In section V we review previous work relevant to this paper. Finally, section VI concludes the paper.

# II. Base architecture and directory Protocol

In this work, we assume a CMP system built using a number of tiles [3]. Each tile contains a processor, private L1 data and instruction caches, a bank of the L2 cache, and a network interface. The L2 cache is logically shared by all cores but it is physically distributed among all tiles. Each tile has its network interface to connect to the on-chip interconnection network. We assume in-order processors since that seems the most reasonable approach to build powerefficient CMPs with many cores, although the correctness of the protocol is not affected if out-of-order cores are used.

Our base architecture uses a traditional directory protocol adapted for CMP systems that we will refer to as DIRCMP. DIRCMP is a MOESI-based cache coherence protocol which uses an on-chip directory to mantain coherence between several private L1 caches and a shared non-inclusive L2 cache. It uses a directory cache in L2 and the L2 effectively acts as the directory for the L1 caches.

# III. A fault tolerant directory coherence protocol

FTDIRCMP is an extension of DIRCMP which assumes an unreliable interconnection network. It will guarantee the correct execution of a program even if coherence messages are lost or discarded by the interconnection network due to transient errors.

Losing a message carrying data can lead to loss of data if the corresponding memory line is not in any other cache and it has been modified since the last time that it was written to memory. FTDIRCMP uses extra messages to acknowledge the reception of a few critical data messages. When possible, those messages are kept out of the critical path of any cache miss and they are piggybacked in other messages in the most frequent cases.

Losing any message cannot lead to an incoherence, since write access to a line is only granted after all the necessary invalidation acknowledgments have been actually received.

Thanks to the fact that every message lost in DIRCMP leads to a deadlock (since either the sender will be waiting indefinitely for a response or the receiver was already waiting for the lost response), FT-DIRCMP can use timeouts to detect potentially lost messages. FTDIRCMP uses a number of timeouts to detect faults and start corrective measures. Table I shows a summary of these timeouts.

Usually, when a fault occurs and a timeout triggers, FTDIRCMP reissues the request using a different serial number. The need for request

serial numbers is explained in section III-E. These reissued requests need to be identified as such by the node that answers to them and not be treated like an usual request. In particular, a reissued request should not wait in the incoming request buffer to be attended by the L2 or the memory controller until a previous request is satisfied, because that previous request may be precisely the older instance of the request that is being reissued. Hence, the L2 directory needs to remember the blocker (last requester) of each line to be able to detect reissued requests. This information can be stored in the Miss Status Holding Register (MSHR) table or in a dedicated structure for the cases when it is not necessary to allocate a full MSHR entry.

### A. Reliable data transmission

FTDIRCMP needs to ensure that there is always at least one updated copy of the data of each line off the network and that such copy can be readily used for recovery in case of a fault that corrupts the data while it travels through the network.

Data transmission needs to be reliable when ownership is transferred. Ownership can be transferred either with an exclusive data response or a writeback response. On the other hand, when ownership is not being transferred, data transmission does not need to be reliable because if the data carrying message is lost, the data can be sent again from the owner node when the request is reissued.

In order to ensure reliable data transmission of owned data, FTDIRCMP adds some additional states to the usual set of MOESI states:

- Backup (B): This state is similar to the Invalid (I) state, but the data is kept in the cache to be used for potential recovery (that is, when leaving the Modified, Owned or Exclusive states) and will abandon it once an *ownership acknowl-edgment* is received.
- Blocked ownership (Mb, Eb and Ob): To prevent having more than one backup for a line at any given point in time, which is important to be able to recover in case of a fault, a cache that acquires ownership (entering the Modified, Owned or Exclusive states) will avoid transmitting the ownership to another cache until it receives a *backup deletion acknowledgment* message from the previous owner. For achieving this, we have added blocked versions of the Modified, Exclusive and Owned states. While a line is in one of these states, the cache will not attend external requests to that line which require ownership transference.

Using the states described above, the transmission of owned data between two nodes works as follows:

1. When a node sends owned data to another node, it does not transition to an *Invalid* state. Instead, it enters a *Backup* state in which the data is still kept for recovery, although no read or write permission on the line is retained. The

TABLE I TIMEOUTS SUMMARY.

Timeout	When is it activated?	Where is it activated?	When is it deactivated?	Action when it triggers
Lost Request	When a request is is-	At the requesting L1	When the request is sat-	The request is reissued
	sued.	cache.	isfied.	with a new serial number.
Lost Unblock	When a request is	At the responding L2	When the unblock mes-	An UnblockPing is sent.
	answered.	or memory.	sage is received.	
Lost backup deletion	When the AckO	At the node that	When the AckBD mes-	The $AckO$ is reissued with
acknowledgment	message is sent.	sends the $AckO$ .	sage is received.	a new serial number.

cache will keep the data until it receives an *own-ership acknowledgment*.

- 2. When the data message is received by the new owner, it sends an ownership acknowledgment (AckO) to the node that sent the data. Also, it does not transition to an M, O or E state. Instead it enters one of the blocked ownership states (Mb, Eb or Ob) until it receives the backup deletion acknowledgment (AckBd). While in these states, the node will not transfer ownership to another node. However, at this point the node has received the data (and possibly write permission to it) and the miss is already satisfied.
- 3. When the node that sent the data receives the *ownership acknowledgment*, it transitions to an *Invalid* state and sends a *backup deletion ac-knowledgment* to the other node.
- 4. Finally, once the *backup deletion acknowledgment* is received, the node that received the data transitions to an standard M, O or E state

Figure 1 shows an example of how a cache-to-cache miss which requires ownership change is handled in FTDIRCMP and compares it with DIRCMP.

The ownership acknowledgment can be piggybacked in the unblock message when the data is sent to the requesting L1 by the L2 (or to L2 by the memory). In that case, only an extra message (the backup deletion acknowledgment) needs to be sent.

These rules ensure that for every cache line there is always either an owner node that has the data, a backup node which has a backup copy of the data or both. They also ensure that there is never more than one owner or one backup node.

The rules explained above ensure the reliable transmission of owned data in all cases without adding any message to the critical path of cache misses in most cases. However there are potential performance problems created by the blocked ownership states, since a node (L1 cache, L2 cache bank or memory controller) cannot transfer the recently received owned data until the backup deletion acknowledgment message is received. This is not a problem when the data is received by an L1 cache since the node can already use the data while it waits for said acknowledgment. However, in the case of L2 misses, the L2 cannot answer the L1 request immediately after receiving the data from memory because, according to the rules described above, it first needs to send an ownership acknowledgment to memory and wait for the backup deletion acknowledgment. Hence,



Initially, for both protocols, L1b has the data in modifiable (M), exclusive (E) or owned (O) state and L1a requests write access to L2 (1) which forwards the request to L1b (2). In DIRCMP, L1b sends the data to L1a (3) and transitions to invalid state. Subsequently, when L1a receives the data, it transitions to a modifiable (M) state and sends an UnblockEx message to L2. In FTDIRCMP, when L1b receives the forwarded GetX, it sends the data to L1a and transitions to the backup state (3). When L1a receives the data, it transitions to the blocked ownership and modifiable (Mb) state and sends the UnblockEx message to L2 and an ownership acknowledgment (AckO) message to L1b (4). When L1b receives the AckO, it discards the backup data, transitions to invalid (I) state and sends a backup deletion acknowledgment (AckBD) message to L1a (5), which transitions to the usual modifiable (M) state when receives it.

Fig. 1. Message exchange for a cache-to-cache write miss.

in the case of L2 misses, these rules would add two messages in the critical path of misses.

To avoid increasing the latency of L2 misses, we relax the rules in these cases. We allow the L2 to send the data directly to the requesting L1 just after receiving it, keeping a backup until it receives the ownership acknowledgment from the L1. In fact, the L2 does not send the ownership acknowledgment to memory until it receives it from the L1 (most times piggybacked on an unblock message) since this way we can piggyback it with an unblock message.

#### B. Faults detected by the lost request timeout

The *lost request timeout* starts when a request is issued and stops once it is satisfied. Hence, it will trigger whenever a request takes too much time to be satisfied or cannot be satisfied because any of the involved messages has been dropped, causing a deadlock. It is maintained by the L1 for each miss. This timeout is also used for writeback requests.

When it triggers, FTDIRCMP assumes that some

message which was necessary to finish the transaction has been lost due to a transient fault and retries the request using a different serial number (see section III-E). The particular message that may have been lost is not very important: it can be the request itself, an invalidation request sent by the L2 or the memory controller, a response to the request or an invalidation acknowledgment. The timeout is restarted after the request is reissued to be able to detect additional faults.

## C. Faults detected by the lost unblock timeout

Unblock messages are sent by the L1 once it receives the data and all required invalidation acknowledgments to notify the L2 that the miss has been satisfied. When the L2 receives one of these messages, it proceeds to attend the next miss for that line, if any. Hence, when an unblock message is lost, the L2 will be blocked indefinitely and will not be able to attend further requests for the same line. Lost unblock messages cannot be detected by the *lost requests timeout* because that timeout is deactivated once the request is satisfied, just before sending the unblock message.

To avoid a deadlock due to a lost unblock message, the L2 starts the *lost unblock timeout* when it answers to a request and waits for an unblock message to finalize the transaction. When this timeout triggers, it will send an *UnblockPing* message.

When an L1 cache receives an UnblockPing message and it has already satisfied that miss (hence it has already sent a corresponding unblock message which may have been lost or not), it will answer with a reissued unblock message, depending on whether it has exclusive or shared access to the line. If the miss has not been resolved yet (hence no unblock message could have been lost because it was not sent in the first place), the UnblockPing message will be ignored. The L1 cache can check whether the miss has been already resolved or not by looking at its MSHR for a pending miss for the same address.

Unblock messages are also exchanged between the L2 and the memory controller in an analogous way. Hence, FTDIRCMP uses an unblock timeout and *UnblockPing* in the memory controller too. This timeout is used to detect lost writeback messages too.

# D. Faults detected by the lost backup deletion acknowledgment timeout

As explained in section III-A, when ownership has to be transferred from a node to another, FT-DIRCMP uses a pair of acknowledgments to ensure the reliable transmission of the data. Losing any of these acknowledgments would lead to a deadlock which will not be detected by the *lost request* or *lost* unblock timeout because these timeouts are deactivated once the miss has been satisfied. For these reasons, we intoduce the *lost backup deletion acknowl*edgment timeout which is started when an ownership acknowledgment is sent and is stopped when the backup deletion acknowledgment arrives. This way, it will trigger if any of these acknowledgments is lost or arrives too late.

When it triggers, a new ownership acknowledgment (AckO) message will be sent with a newly assigned serial number. If the ownership acknowledgment was actually lost, the new message will hopefully arrive to the node that is holding a backup of the line and that backup will be discarded and an AckBD message will be returned.

If the first ownership acknowledgment did arrive to its destination (false positive), the new message will arrive to a node which no longer has a backup and which already responded with an AckBD message. Anyway, a new AckBD message will be sent using the serial number of the new message. The old AckBDmessage will be discarded because it carries an old serial number.

#### E. Request serial numbers

As described above, when a *lost request timeout* triggers FTDIRCMP assumes that the request message or some response message has been lost due to a transient fault and then reissues the request hoping that no fault will occur this time. However, sometimes the timeout may trigger before the response has been received due to unusual network congestion or any other reason that causes an extraordinarily long latency for solving a miss.

In case of a false positive, two or more duplicate response messages would arrive to the requestor and, in some cases, the extra messages could lead to an incoherence. For this reason, FTDIRCMP uses *request serial numbers* to discard responses which arrive too late, when the request has already been reissued.

Every request and every response message carries a serial number. Request serial numbers are chosen by the L1 cache that issues the request (or by the L2 in case of writebacks from L2 to memory). Responses or forwarded requests will carry the serial number of the request that they are answering to. When a request is reissued, it will be assigned a new serial number which will allow to distinguish between responses to the old request and responses to the new one.

The L1 cache, L2 cache and memory controller must remember the serial number of the requests that they are currently handling and discard any message which arrives with an unexpected serial number or from an unexpected sender.

# IV. EVALUATION

# A. Methodology

We have experimentally measured the overhead of FTDIRCMP in comparison with DIRCMP both in terms of execution time overhead and network traffic overhead. For this, we have performed full system simulations using Multifacet GEMS [7] detailed memory model and Virtutech Simics [8].

We have simulated a tiled CMP as described in section II. Table II shows the most relevant configuration parameters of the modeled system. The values chosen for the fault-detection timeouts have

## TABLE II

CHARACTERISTICS OF SIMULATED ARCHITECTURES.

16-Way Tiled CMP System				
Processor parameters				
Processor speed	2 GHz			
Cache parameters				
Cache line size	64 bytes			
L1 cache:				
Size, associativity	32 KB, 4 ways			
Hit time	2 cycles			
Shared L2 cache:				
Size, associativity	1024 KB, 4 ways			
Hit time	15 cycles			
Memory parameters				
Memory access time	300 cycles			
Memory interleaving	4-way			
Network parameters				
Topology	2D Mesh			
Non-data message size	8 bytes			
Data message size	72 bytes			
Channel bandwidth	64  GB/s			
Fault tolerance parameters				
Lost request timeout	2000 cycles			
Lost unblock timeout	4000 cycles			
Lost backup deletion acknowledgment	4000 cycles			
Request serial number size	8 bits			

been chosen experimentally to minimize the number of false positives, thus ensuring minimal performance degradation in the fault-free scenario.

Finally, we have used a selection of scientific applications for the evaluation: Barnes (8192 bodies, 4 time steps), Cholesky (tk16.O), FFT (256K complex doubles), Ocean ( $258 \times 258$  ocean), Radix (1M keys, 1024 radix), Raytrace (10Mb, teapot.env scene), Water-NSQ (512 molecules, 4 time steps), and Water-SP (512 molecules, 4 time steps) are from the SPLASH-2 benchmark suite. Tomcatv (256 points, 5 iterations) is a parallel version of a SPEC benchmark and Unstructured (Mesh.2K, 5 time steps) is a computational fluid dynamics application.

# B. Results

We have measured the execution time of DIRCMP in a fault-free scenario and compared it to FT-DIRCMP with several message loss rates. The results are shown in figure 2. Fault rates are expressed in number of messages discarded per million of messages that travel through the network.



Fig. 2. Execution time overhead of FTDIRCMP compared to DIRCMP for several fault rates.

First, we see that there is no measurable overhead in terms of execution time for FTDIRCMP with respect to DIRCMP when there are no faults (DirCMP-0 and FtDirCMP-0 bars respectively). This is because, when no faults occur, the main difference between our proposal and a standard directory-based protocol is just the extra acknowledgments used to ensure reliable data transmission, which are sent out of the critical path of misses.

As the fault rate increases, so does the execution time. The performance degradation depends mainly on the latency of the error detection mechanism. Hence, shortening the fault detection timeouts can reduce performance degradation when faults happen but at the risk of increasing the number of false positives which could lead to performance degradation in the fault-free case. With the timeouts used in this work, the performance degradation is not severe for most benchmarks even with fault rates which are unrealistically high. The execution time of three benchmarks doubles when the fault rate reaches 2000 messages lost per million (FtDirCMP-2000 bar), but on average execution time increases less than 50%even for the highest fault rate tested. Obviously, DIRCMP would not be able to execute correctly for any fault rate greater than zero.



Fig. 3. Network overhead of FTDIRCMP compared to DIRCMP without faults.

We have also measured the network overhead of our proposal in terms of the relative increase of both number of messages and bytes transmitted through the network. These results are shown in figure 3 for the fault-free scenario and categorized by type of message. We can see that, on average, the overhead in terms of number of messages that FTDIRCMP introduces is less than 30%. Moreover, the overhead drops to 10% when it is measured in terms of bytes. These overheads represent the main cost of the fault tolerance features of our protocol. As can be seen, the overhead comes entirely from the acknowledgments used to ensure reliable ownership transference as explained in section III-A (portion *ownership* of each bar).

There is also a small hardware overhead due to the counters that need to be added to MSHRs for the timeouts and additional space in the MSHR (or a separate structure) for storing the request serial number of the transaction, the identity of the requester currently being serviced (in the L2 cache and memory controller), and the identity of the receiver of owned data when transferring ownership (in the L1 cache, to make possible to detect reissued forwarded requests). Finally, FTDIRCMP requires two virtual channels more than DIRCMP.

# V. Related work

An alternative way to solve the problem of transient failures in the on-chip interconnection network is making the network itself fault tolerant. There are several proposals [9–12] exploring this approach. Ensuring the reliable transmission of all messages through the network imposes significant overheads in latency, power consumption and area. In contrast, our protocol allows for more flexibility to design a high-performance on-chip network which can be unreliable. The protocol itself ensures the reliable retransmission of those few messages that carry owned data and could cause data loss.

In a previous work [6], we presented a low overhead fault tolerant protocol based on the token coherence framework [4]. This work applies similar ideas for directory-based cache coherence protocols. Directory-based protocols are better known than token-based ones and are actually used in commercial systems. Besides the different base protocol, the main differences between our previous fault tolerant protocol and this one are:

- In [6], fault recovery was done by means of a centralized mechanism called the *token recreation process* arbitrated by the memory controller. In this work, fault recovery is achieved simply reissuing requests with a different serial number.
- The token serial numbers used in our previous protocol serve a similar purpose to request serial numbers, but the latter are easier to implement and more scalable. Token serial numbers were associated with each cache line and needed to be updated in a coordinated fashion during the token recreation process. Hence, they required an additional structure in each cache to store them (even for lines which were not currently in the cache, but only for those hopefully few lines that had a token serial number different than 0). On the other hand, request serial numbers are short-lived information stored in the MSHRs.

# VI. CONCLUSION

In this work, we have shown how to build a faulttolerant directory-based coherence protocol which can ensure the correct execution of programs even if the interconnection network is subject to transient failures and does not correctly deliver all the coherence messages. Our protocol uses error detection codes (CRC) to detect corrupted messages and discard them upon reception, uses a number of timeouts to detect faults, adds acknowledgments only for a small number of messages, and uses request retries to resolve deadlock situations caused by transient failures.

We have evaluated the overhead of our protocol with respect to a base directory-based non faulttolerant coherence protocol both in terms of execution time overhead and network usage overhead. We have found that, in absence of failures, the overhead of our protocol is minimal: the execution time does not increase, there is a very small hardware overhead and the network traffic increases moderately. We have also performed fault injection to check the correctness of the protocol and to measure the performance degradation caused by several fault rates. We have found only a moderate performance degradation for fault rates which are much higher than what can be expected in a real scenario. Hence, we expect that the transient faults occurring in the interconnection network of a system using our protocol would have a negligible effect in performance.

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