## $\mathcal{O}$

## Weakly Uniformly Rotund Banach spaces

A. Moltó, V. Montesinos, J. Orihuela and S. Troyanski \*

## Abstract

The dual space of a WUR Banach space is weakly k-analytic.

A Banach space is said to be *weakly uniformly rotund* (WUR -for short) if given sequences  $(x_n)$  and  $(y_n)$  in the unit sphere with  $||x_n + y_n|| \to 2$  we should have weak- $\lim_{n \to \infty} (x_n - y_n) = 0$ . This notion has become more important since Hájek proved that every WUR Banach space must be Asplund [7]. To obtain this result he uses ideas of Stegall for the equivalence between being an Asplund space and having the Radon-Nikodym property on its dual. Using this result and the Fabian-Godefroy [3] projectional resolution of the identity in the dual of an Asplund space, Fabian, Hájek and Zizler have recently showed that for a WUR Banach space E the dual space  $E^*$  is a subspace of a WCG Banach space. Indeed they proved that for a Banach space E to have an equivalent WUR norm is equivalent to the fact that the bidual unit ball  $B_{E^{**}}$ , endowed with the weak-\* topology, will be an uniform Eberlein compact [4]. Consequently they obtain that E must be LUR renormable, too [6]. The aim of this note is to provide a direct proof of the fact that every WUR Banach space E has a dual space  $E^*$  which is weakly k-analytic. This provides a topological approach to Hájek's result on the Asplundness of the space E as well as the LUR renorming consequence on E after [5].

This paper was prepared during the visit of the forth named author to the University of Valencia in the Spring term of the Academic Year 1995-96. He acknowledges his gratitude to the hospitality and facilities provided by the University of Valencia.

In this paper, E will denote a Banach space,  $E^*$  its dual,  $B_E$  its closed unit ball,  $S_E$  its unit sphere.

**Definition 1** A Banach space  $(E, \|\cdot\|)$  is said to be uniformly Gâteaux differentiable (UGD -for short) if for every  $x \in E$ 

$$\lim_{t \to 0} \sup_{\|y\|=1} \frac{\|y + tx\| + \|y - tx\| - 2}{t} = 0.$$

<sup>\*</sup>The first named author has been supported in part by DGICYT Project PB91-0326, the second named author by DGICYT PB91-0326 and PB94-0535, the third named author by DGICYT PB95-1025 and DGICYT PB91-0326, the fourth named author by a grant from the "Conselleria de Cultura, Educació i Ciència de la Generalitat Valenciana" and by NFSR of Bulgaria Grant MM-409/94.

The following theorem is the main result of this note:

**Theorem 1** Let E be a Banach space such that  $E^*$  has an equivalent (not necessarily dual) UGD norm (in particular, let E be WUR Banach space). Then  $E^*$  is weakly k-analytic.

The proof is based on the following assertions

**Fact 1** (Šmulyan, see [2, Theorem II.6.7]) The Banach space E is WUR if and only if  $E^*$  is UGD.

**Theorem 2** (Talagrand [8]) Let K be a compact space. The following assertions are equivalent:

- 1. C(K) is weakly k-analytic.
- 2. There is an increasing mapping  $\alpha \to S_{\alpha}$  from  $\mathbb{N}^{\mathbb{N}}$  (endowed with the product order) in the family of compact subsets of C(K) endowed with the topology of pontwise convergence, such that  $\cup \{S_{\sigma} : \sigma \in \mathbb{N}^{\mathbb{N}}\}$  separates points of K.

**Remark 1** In [1] the validity of the previous theorem for an arbitrary topological space is studied. In particular, for every subset W of a Banach space E it follows that (W, weak) is k-analytic if and only if  $W = \bigcup \{S_{\sigma} : \sigma \in \mathbb{N}^{\mathbb{N}}\}$  and every  $S_{\sigma}$  is weakly compact with  $S_{\sigma} \subset S_{\gamma}$  whenever  $\sigma \leq \gamma$  in the product order. This will be the only tool necessary here from the theory of k-analytic spaces.

**Remark 2** From Theorem 1 and [5], see also [2, p. 296], we get that every WUR Banach space admits an equivalent LUR norm.

**Remark 3** From Theorem 1 and [9] we obtain Hájek's [7] result asserting that every WUR Banach space is Asplund. Following ideas in [1] it is possible to give a direct proof of the fact that if  $X^*$  is weakly k-analytic then X is Asplund: Assume X is separable. As  $(X^*, \text{weak})$  is k-analytic, there is an increasing usco mapping T from  $\mathbb{N}^{\mathbb{N}}$  into the set of subsets of  $(X^*, \text{weak})$ . Let P be the projection from  $(X, \text{weak}^*) \times \mathbb{N}$  onto (X, weak), and consider the restriction Q of P to  $\Sigma := \{(x, \alpha) : (x, \alpha) \in X \times \mathbb{N}^{\mathbb{N}}, x \in T(\alpha)\}$ . It is easy to prove that Q is continuous. It follows that X is separable.

**Proof of Theorem 1.** It is well known that E admits an equivalent WUR norm. Then  $E^*$  has an equivalent dual UGD norm. Then given  $x^* \in S_{E^*}$  and  $\epsilon > 0$ , there exists  $\delta_{\epsilon}(x^*) > 0$  such that

 $||y^* + tx^*|| + ||y^* - tx^*|| \le 2 + \epsilon |t|$ , if  $|t| < \delta_{\epsilon}(x^*)$  and  $y^* \in S_{E^*}$ .

Given a positive integer p define

$$S_p(\epsilon) := \{ x^* \in S_{E^*} : \delta_{\epsilon}(x^*) > \frac{1}{p} \}.$$

Obviously,

$$S_1(\epsilon) \subset S_2(\epsilon) \subset \ldots \subset S_p(\epsilon) \subset S_{p+1}(\epsilon) \subset \ldots$$

and  $\bigcup_{p=1}^{\infty} S_p(\epsilon) = S_{E^*}$ . Let  $\alpha = (a_n) \in \mathbb{N}^{\mathbb{N}}$ . Define

$$S_{\alpha} := \bigcap_{n=1}^{\infty} S_{a_n}(\frac{1}{n}).$$

We have

$$S_{E^*} = \bigcup \{ S_\alpha : \ \alpha \in \mathbb{N}^{\mathbb{N}} \},\$$

and

$$S_{\alpha} \subset S_{\beta}$$
, whenever  $\alpha = (a_n) \leq \beta = (b_n)$  (i.e.,  $a_n \leq b_n, \forall n$ ).

This sets will give us the k-analytic structure of  $S_{E^*}$  in the weak topology. Indeed, we have the following

**Claim 1** Given  $x^{**} \in B_{E^{**}}$ ,  $\epsilon > 0$  and  $\alpha = (a_n) \in \mathbb{N}^{\mathbb{N}}$ , there is  $x \in B_E$  such that

$$|\langle x^{**} - x, x^* \rangle| < \epsilon, \ \forall x^* \in S_{\alpha}$$

**Proof of the claim:** Find  $n \in \mathbb{N}$  such that  $\frac{3}{n} < \epsilon$ . Pick  $y^* \in S_{E^*}$  such that

$$\langle x^{**}, y^* \rangle > 1 - \frac{1}{na_n}$$

Find  $x \in B_E$  such that

$$\langle x, y^* \rangle > 1 - \frac{1}{na_n}$$

Let  $x^* \in S_{\alpha}$ . Since  $x^* \in S_{a_n}(\frac{1}{n})$ 

$$||y^* + \frac{1}{a_n}x^*|| + ||y^* - \frac{1}{a_n}x^*|| \le 2 + \frac{1}{na_n}$$

In particular we have

$$\langle x^{**}, y^* + \frac{1}{a_n} x^* \rangle + \langle x, y^* - \frac{1}{a_n} x^* \rangle \le 2 + \frac{1}{na_n}$$
 (1)

hence

$$\frac{1}{a_n}\langle x^{**} - x, x^* \rangle \le 2 + \frac{1}{na_n} - \langle x^{**}, y^* \rangle - \langle x, y^* \rangle < \frac{3}{na_n} < \frac{\epsilon}{a_n}$$

and so

$$\langle x^{**} - x, x^* \rangle < \epsilon, \ \forall x^* \in S_{\alpha}.$$

By interchanging  $x^{**}$  and x in (1), we get

$$|\langle x^{**} - x, x^* \rangle| < \epsilon, \ \forall x^* \in S_{\alpha}.$$

and this proves the claim.

To finish the proof of the Theorem, observe that, by the Claim, each  $S_{\alpha}$  is weakly relatively compact since it is weak<sup>\*</sup>-relatively compact. Thus, we have

$$S_{E^*} \subset \cup \{\overline{S_{\alpha}}^{\mathrm{weak}} : \alpha \in \mathbb{N}^{\mathbb{N}}\} := W$$

and W is weakly k-analytic in  $E^*$  [Theorem 2 and Remark 1].

Consider the map

$$(W, \text{weak}) \times [0, +\infty[ \xrightarrow{\Psi} (E^*, \text{weak})]$$

given by  $\Psi(x^*, t) := t.x^*$ .  $\Psi$  is continuous,  $[0, +\infty[$  is a Polish space,  $(W, \text{weak}) \times [0, +\infty[$  is k-analytic and  $\Psi(W \times [0, +\infty[) = E^*, \text{ so } (E^*, \text{weak}) \text{ is itself k-analytic.} q.e.d.$ 

## References

- B. Cascales: On K-analytic locally convex spaces. Arch. Math. 49 (1987), 232-244.
- [2] R. Deville, G. Godefroy and V. Zizler: Smoothness and renormings in Banach spaces. Longman Scientific and Technical, 1993.
- [3] M. Fabian and G. Godefroy: The dual of every Asplund admits a projectional resolution of the identity. Studia Math. 91 (1988), 141-151.
- [4] M. Fabian, P. Hájek and V. Zizler: On uniform Eberlein compacta and uniformly Gâteaux smooth norms. To appear in Serdica Math. J.
- [5] M. Fabian and S. Troyanski: A Banach space admits a locally uniformly rotund norm if its dual is a Vasšák space. Israel J. Math. 69 (1990), 214-224.
- [6] G. Godefroy, S. Troyanski, J. H. M. Whitfield and V. Zizler: Smoothness in weakly compactly generated Banach spaces. J. Functional Anal. 52 (1983), 344-352.
- [7] P. Hájek: Dual renormings of Banach spaces. Commentationes Matematicae Universitatis Carolinae. 37 (1996), 241-253.
- [8] M. Talagrand: Espaces de Banach faiblement K-analytiques. Annals of Mathematics, 110 (1979), 407-438.
- [9] L. Vašák: On one generalization of weakly compactly generated Banach spaces. Studia Math. 70 (1981), 11-19.

Address: Departament d'Anàlisi Matemàtica. Universitat de València. Dr. Moliner, 50. 46100 Burjassot (València), Spain

Address: Departamento de Matemática Aplicada. E.T.S.I. Telecomunicación. Universidad Politécnica de Valencia. C/ Vera, s/n. 46071-Valencia, Spain

Address: Departamento de Análisis Matemático. Universidad de Murcia. Campus de Espinardo. Murcia, Spain.

Address: Faculty of Mathematics and Informatics. Sofia University. 5, James Bourchier blvd. 1126 Sofia, Bulgaria.