On the semi-Riemannian bumpy theorem

Leonardo Biliotti, Miguel Angel Javaloyes and Paolo Piccione

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New developments in Lorentzian Geometry



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- R. ABRAHAM, Bumpy metrics, in Global Analysis 1970, pp. 1–3.



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RALF ABRAHAM (1936-)

Correctness of the proof

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- D. V. ANOSOV, Generic properties of closed geodesics, Izv. Akad. Nauk SSSR Ser. Mat. 46 (1982), no. 4, 675–709, 896.



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Further applications

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- G. CONTRERAS-BARANDIARÁN, G.
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GABRIEL PATERNAIN

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In the Riemannian case Anosov used a dynamical approach and some ingenious ideas

• The dynamical approach does not apply in the semi-Riemmanian version (for example the unit tangent bundle is not meaningful)

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In the bumpy theorem several problems appear:

- 1) there is an equivariant (only continuous) \mathbb{S}^1 -action
- 2) a certain transversality condition is not satisfied in the iterates of a geodesic



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Programme of work

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- 2) The second problem will be avoided by considering just the open subsets of prime closed curves
- 3) Seps 1) and 2) will give a weak bumpy theorem
- 4) To conclude the "authentic" semi-Riemannian bumpy theorem we will use Anosov's ideas

Our main tool: the Genericity theorem

X separable Banach manifold and Y a separable Hilbert manifold and $\Pi: X \times Y \to X$ the projection.

Theorem

Let $f : A \subset X \times Y \to \mathbb{R}$ be C^2 . Assume that for every $(x_0, y_0) \in A$ with $\frac{\partial f}{\partial y}(x_0, y_0) = 0$ it holds:

•
$$\frac{\partial^2 f}{\partial y^2}(x_0, y_0)$$
 is (self-adjoint)-Fredholm in $T_{y_0}Y$

• for all
$$v \in \ker \left[\frac{\partial^2 f}{\partial y^2}(x_0, y_0) \setminus \{0\} \right]$$
, $\exists w \in T_{x_0}X$ such that

 $\frac{\partial^2 f}{\partial y \partial x}(x_0, y_0)(v, w) \neq 0 \quad \text{(Transversality condition)}$

For $x \in \Pi(A)$ set $A_x = \{y \in Y : (x, y) \in A\}$. Then, the set of $x \in X$ such that $A_x \ni y \to f(x, y) \in \mathbb{R}$ is a Morse function is generic in $\Pi(A)$.

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Genericity theorem in the closed geodesic problem

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- E_g is invariant by this action!!

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- If f: Y → ℝ is G-equivariant (f(g · y) = f(y)), we say that it is a G-Morse function when H^f(y) is non-degenerate in some complement of D_y.
- Observe that if one point in the orbit is a critical point all the points in the orbit are critical

G-equivariant genericity theorem

X separable Banach manifold and Y a separable Hilbert manifold and $\Pi: X \times Y \to X$ the projection.

Theorem

Let $f : A \subset X \times Y \to \mathbb{R}$ be C^2 and G-equivariant in Y. Assume that for every $(x_0, y_0) \in A$ with $\frac{\partial f}{\partial y}(x_0, y_0) = 0$ it holds:

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 $\frac{\partial^2 f}{\partial y \partial x}(x_0, y_0)(v, w) \neq 0 \quad \text{(Transversality condition)}$

For $x \in \Pi(A)$ set $A_x = \{y \in Y : (x, y) \in A\}$. Then, the set of $x \in X$ such that $A_x \ni y \to f(x, y) \in \mathbb{R}$ is a *G*-Morse function is generic in $\Pi(A)$.

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- the countable intersection of generic subsets is generic



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- But Transversality condition is satisfied just when consider prime closed geodesics
- In this way we obtain the genericity of metrics with all the prime closed geodesics non-degenerate, that is, the weak bumpy theorem

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Let us introduce the notation:

$$\mathcal{M}(a,b) = \left\{ \mathbf{g} \in \operatorname{Met}(M,i;k) : \text{every closed } \mathbf{g}\text{-geodesic } \gamma \right\}$$

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 $\cap_{n\in\mathbb{N}}\mathcal{M}(n,n)$

 to conclude the semi-Riemannian bumpy theorem it is enough to show that every M(n, n) is generic in Met(M, i; k)

Steps of the proof

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Steps of the proof

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Proof: here we use the weak bumpy theorem and that $\mathcal{M}^* \cap \mathcal{M}(a, 2a) \subset \mathcal{M}(\frac{3}{2}a, \frac{3}{2}a) \cap \mathcal{M}(a, 2a)$

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3) $\mathcal{M}(a, 2a)$ is dense in $\mathcal{M}(a, a)$ **Proof**: a perturbation argument

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$$\mathcal{M}(\frac{3}{2}a, \frac{3}{2}a)$$
 is dense in $\mathcal{M}(a, a)$ Proof: Step 2 and 3

5) $\mathcal{M}(b, b)$ is dense in $\mathcal{M}(a, a)$

1) $\mathcal{M}(a, b)$ is open in $\operatorname{Met}(M, i; k)$ for every $a \leq b$ Proof: take $\{g_n\} \in \operatorname{Met}(M, i; k) \setminus \mathcal{M}(a, b)$ and show that $\lim_{n\to\infty} g_n = g_\infty \in \operatorname{Met}(M, i; k) \setminus \mathcal{M}(a, b).$ 2) $\mathcal{M}(\frac{3}{2}a, \frac{3}{2}a) \cap \mathcal{M}(a, 2a)$ is dense in $\mathcal{M}(a, 2a)$

Proof: here we use the weak bumpy theorem and that $\mathcal{M}^* \cap \mathcal{M}(a, 2a) \subset \mathcal{M}(\frac{3}{2}a, \frac{3}{2}a) \cap \mathcal{M}(a, 2a)$

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Proof: Apply step 4 to obtain: $\mathcal{M}((\frac{3}{2})^n a, (\frac{3}{2})^n a)$ is dense in $\mathcal{M}(a, a)$

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Proof: Apply step 4 to obtain: $\mathcal{M}(\left(\frac{3}{2}\right)^n a, \left(\frac{3}{2}\right)^n a)$ is dense in $\mathcal{M}(a, a)$ 6) $\mathcal{M}(b, b)$ is dense in Met(M, i; k) for every $b \in \mathbb{R}$ Proof: Step 5 and the fact that for a fix metric g all the closed geodesics have g_R -energy greater than $\bar{a} > 0$ Introduce the notations:

 $Met^*_N(M, i; k) = \{ \mathbf{g} \in Met(M, i; k) : \text{all closed } \mathbf{g}\text{-geodesics } \gamma \text{ with} \\ E(\gamma) \leq N \text{ are nondegenerate} \}$

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- $\operatorname{Met}^*(M, i; \infty) = \cap_{N=1}^{\infty} \operatorname{Met}^*_N(M, i; \infty)$
- it is enough to prove that every $\operatorname{Met}^*_N(M, i; \infty)$ is open and dense in $\operatorname{Met}(M, i; \infty)$.

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• $\operatorname{Met}^*_N(M, i; k)$ is open in $\operatorname{Met}(M, i; k)$ for $k = 2, \ldots, \infty$

• $\operatorname{Met}_{N}^{*}(M, i; k)$ is open in $\operatorname{Met}(M, i; k)$ for $k = 2, ..., \infty$ Proof: Again consider $g_{n} \in \operatorname{Met}(M, i; k) \setminus \operatorname{Met}_{N}^{*}(M, i; k)$, then $\lim_{n \to \infty} g_{n} = g_{\infty} \in \operatorname{Met}(M, i; k) \setminus \operatorname{Met}_{N}^{*}(M, i; k)$

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- 1) $Met^*(M, i; k)$ is dense in Met(M, i; k) (Bumpy theorem)
- 2) $\operatorname{Met}^*(M, i; k) \subset \operatorname{Met}^*_N(M, i; k)$ (trivial)

• $\operatorname{Met}_{N}^{*}(M, i; k)$ is open in $\operatorname{Met}(M, i; k)$ for $k = 2, ..., \infty$ **Proof:** Again consider $g_{n} \in \operatorname{Met}(M, i; k) \setminus \operatorname{Met}_{N}^{*}(M, i; k)$, then $\lim_{n \to \infty} g_{n} = g_{\infty} \in \operatorname{Met}(M, i; k) \setminus \operatorname{Met}_{N}^{*}(M, i; k)$

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- 4) $\operatorname{Met}(M, i; \infty) \cap \operatorname{Met}^*_N(M, i; k) = \operatorname{Met}^*_N(M, i; \infty)$ is dense in $\operatorname{Met}(M, i; k)$ for all $k \ge 2$:

dense \cap (open and dense) = dense

• $\operatorname{Met}_{N}^{*}(M, i; k)$ is open in $\operatorname{Met}(M, i; k)$ for $k = 2, ..., \infty$ **Proof:** Again consider $g_{n} \in \operatorname{Met}(M, i; k) \setminus \operatorname{Met}_{N}^{*}(M, i; k)$, then $\lim_{n \to \infty} g_{n} = g_{\infty} \in \operatorname{Met}(M, i; k) \setminus \operatorname{Met}_{N}^{*}(M, i; k)$

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- 4) $\operatorname{Met}(M, i; \infty) \cap \operatorname{Met}_N^*(M, i; k) = \operatorname{Met}_N^*(M, i; \infty)$ is dense in $\operatorname{Met}(M, i; k)$ for all $k \ge 2$:

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5) Step 4) implies that $Met^*_N(M, i; \infty)$ is dense in $Met(M, i; \infty)$

• $\operatorname{Met}^*_N(M, i; k)$ is open in $\operatorname{Met}(M, i; k)$ for $k = 2, \ldots, \infty$

Proof: Again consider $g_n \in Met(M, i; k) \setminus Met^*_N(M, i; k)$, then $\lim_{n\to\infty} g_n = g_\infty \in Met(M, i; k) \setminus Met^*_N(M, i; k)$

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THANK YOU VERY MUCH FOR YOUR KIND ATTENTION

