

# Lawson-type problems in non-standard 3-spheres

Manuel Barros, Angel Ferrández and Pascual Lucas  
**Quart. J. Math. Oxford 50 (1999), 385–388**

(Partially supported by DGICYT grant PB94-0750 and Fundación Séneca PB/5/FS/97 )

## Abstract

We show that there exist infinitely many metrics on  $\mathbb{S}^3$  which provide a discrete family of non congruent embedded minimal tori in  $\mathbb{S}^3$ . In particular, we obtain a metric which gives a foliation in the once punctured  $\mathbb{R}P^3$  whose leaves are pairwise non congruent embedded minimal tori. This contrasts with the recent solution of a well known conjecture of H.B. Lawson.

## 1. Introduction

It is well known that there exist no compact minimal surfaces (not even immersed) in the Euclidean space. In a recent paper, P. Tomter, [12], has shown that there exist no compact  $\mathbb{S}^1$ -invariant surfaces, with nonzero genus and constant mean curvature (in particular, minimal tori) in the classic Heisenberg group.

Three main facts should be pointed out regarding the standard 3-sphere. First, an already classical result of H.B. Lawson (see [8]) which in turn implies that the only flat, immersed, minimal torus in  $\mathbb{S}^3$  is the Clifford one. Second, the popular conjecture of Lawson [9] and its recent solution [1], which states that *the only torus minimally embedded in  $\mathbb{S}^3$  is the Clifford torus*. Third, a result of H. Mori [11] which provides a one-parameter family of tori minimally *immersed* (of course, non congruent each other) in  $\mathbb{S}^3$ .

The main purpose of this note concerns to study of Lawson-type problems in  $\mathbb{S}^3$  relative to a class of metrics less symmetric than the standard one. We regard  $\mathbb{S}^3$  as a Lie group and consider metrics on  $\mathbb{S}^3$  which are  $\mathbb{S}^1$ -invariant ( $\mathbb{S}^1$  is viewed as a subgroup of  $\mathbb{S}^3$ ) and giving  $\mathbb{S}^2$  as space of orbits, (see [6] for left  $\mathbb{S}^1$ -invariant metrics on  $\mathbb{S}^3$ ). Then, we give a large subclass in this class of metrics, providing (as many as we wish but in a discrete way) flat embedded minimal tori, non congruent and  $\mathbb{S}^1$ -invariant. We also show the existence of at least one metric in this family which admits a foliation (with a pair of antipodal singularities) by embedded, flat minimal tori.

We wish to thank to the referee for his valuable comments and suggestions.

## 2. Flat Hopf tori

Let  $\mathbb{S}^3 \subset \mathbb{C}^2$  be the 3-sphere endowed with its standard contact structure. If  $N = -z$  is an outward normal, then  $T\mathbb{S}^3 \equiv \pi^*T\mathbb{S}^2 \oplus iN$ , where  $\pi : \mathbb{S}^3 \rightarrow \mathbb{S}^2$  is the usual Hopf map. Let  $\omega$  be the canonical 1-form connection on this principal  $\mathbb{S}^1$ -bundle and define on  $\mathbb{S}^3$  the class of metrics  $\bar{h}_u = \pi^*(h) + (u \circ \pi)^2 \omega^*(dt^2)$ ,  $h$  being a Riemannian metric and  $u$  a positive smooth function both on  $\mathbb{S}^2$ , which makes orthonormal the above splitting. It is easy to see that  $\pi : (\mathbb{S}^3, \bar{h}_u) \rightarrow (\mathbb{S}^2, h)$  is a Riemannian submersion.

If  $\gamma$  is an immersed closed curve in  $\mathbb{S}^2$ , then  $M_\gamma = \pi^{-1}(\gamma)$  is an immersed torus in  $\mathbb{S}^3$ , which is embedded if  $\gamma$  is simple in  $\mathbb{S}^2$ . The universal covering of this torus is  $\Psi : \mathbb{R}^2 \rightarrow M_\gamma$ , given by  $\Psi(s, t) = e^{it} \cdot \bar{\gamma}(s)$ , where  $\bar{\gamma}$  is a lift of  $\gamma$  in  $\mathbb{S}^3$ .

From now on  $\mathbb{S}^2$  by itself will denote the 2-sphere with its standard metric.

A direct computation allows us to find that the Gaussian curvature  $K$  of the  $\bar{h}_u$ -induced metric on  $M_\gamma$  is

$$K = -\frac{u_{ss}}{u} = -\frac{1}{u} \frac{d^2}{ds^2}(u(\gamma(s))). \tag{1}$$

If  $u$  is chosen to be a constant  $u_0$  along  $\gamma$ , then  $M_\gamma$  is flat relative to the  $\bar{h}_u$ -induced metric. Therefore, based on the computation of the curvature and the holonomy of  $\omega$ , (see [2], [3] and [5]) we obtain the isometry type of  $M_\gamma$ .

**Proposition 2.1** *Let  $\gamma$  be an immersed closed curve with length  $L > 0$  in  $(\mathbb{S}^2, h)$ . Let  $A$  be the enclosed area by  $\gamma$  in  $\mathbb{S}^2$  and let  $u$  be a positive smooth function on  $\mathbb{S}^2$  which is a constant  $u_0$  along  $\gamma$ . Then the corresponding Hopf torus  $M_\gamma = \pi^{-1}(\gamma)$  in  $(\mathbb{S}^3, \bar{h}_u)$  is isometric to the flat torus  $\mathbb{R}^2/\Gamma$ ,  $\Gamma$  being the lattice generated by  $(0, 2\pi u_0)$  and  $(L, 2A)$ .*

The shape operator  $A^u$  of  $M_\gamma$  relative to the orthonormal basis  $\{\Psi_s, \frac{1}{u}\Psi_t\}$  has a matrix of form

$$A^u = \begin{pmatrix} \kappa & \tau \\ \tau & -\xi(\log u) \end{pmatrix},$$

where  $\tau = ug(\gamma', \gamma')$  is the torsion of any horizontal lift of  $\gamma$  to  $(\mathbb{S}^3, \bar{h}_u)$ . Then we have

**Proposition 2.2** *Let  $\gamma$  be an immersed curve in  $\mathbb{S}^2$  and  $M_\gamma$  its Hopf tube. Then the mean curvature function  $\alpha_u$  of  $M_\gamma$  in  $(\mathbb{S}^3, \bar{h}_u)$  is given by*

$$\alpha_u = \frac{1}{2}u\tilde{\kappa},$$

where  $\tilde{\kappa}$  is the curvature function of  $\gamma$  in  $(\mathbb{S}^2, \tilde{h})$  and  $\tilde{h} = u^2h$ .

We combine the last proposition with a classical result of L. Lusternik and L. Schnirelmann, [10], to obtain the following.

**Corollary 2.3** *For any metric  $\bar{h}_u$  on  $\mathbb{S}^3$  there exist, at least, three embedded minimal tori in  $(\mathbb{S}^3, \bar{h}_u)$ .*

### 3. Main results

If we choose  $(\mathbb{S}^2, \tilde{h})$  to be an ellipsoid with three different axes, all having approximately the same length, then it has exactly three closed embedded geodesics. Consequently  $(\mathbb{S}^3, \bar{h}_u)$  has three embedded minimal tori. The Lawson conjecture, [9], states that the Clifford torus is the only one minimally embedded in the standard 3-sphere  $\mathbb{S}^3$ . This conjecture has been proved to be true in [1]. The existence of a one-parameter family of immersed minimal tori in  $\mathbb{S}^3$ , whose Gaussian curvature takes values in a neighborhood of zero, was showed in [11]. It was proved in [4] that the space of compact embedded minimal surfaces of a fixed genus in a 3-dimensional Riemannian manifold of positive Ricci curvature is compact. This result is false if we relax the assumption of

positive Ricci curvature. Indeed, in [7], a sequence of embedded minimal tori in  $\mathbb{S}^2 \times \mathbb{S}^1$  (with the standard Riemannian product structure) having no convergent subsequence is given. The same problem can be considered for the metrics  $\bar{h}_u$  on  $\mathbb{S}^3$ .

We would like to point out that the Ricci curvature of the Riemannian metric  $\bar{h}_u$  is not signed. In fact, the Ricci curvature  $r$  on horizontal vectors is given by (see [3])

$$r(X, X) = 4 - 2|A_X|^2 - (X(\ln u))^2 - XX(\ln u).$$

In spite of Corollary 2.3, we cannot give a negative answer to the above stated Lawson-type conjecture relative to  $\bar{h}_u$ . In fact, the embedded minimal tori obtained there could be pairwise congruent in  $(\mathbb{S}^3, \bar{h}_u)$ . However, we can construct large classes of Riemannian metrics on  $\mathbb{S}^3$  which admit non congruent embedded minimal tori.

**Theorem 3.1** *Let  $G$  be a crystallographic subgroup of order  $m$  in  $SO(3)$ . Let  $\beta$  be a closed simple curve in  $\mathbb{S}^2$  such that  $f(\beta) \cap \beta = \emptyset$ , for every  $f \in G$ . Then there exist infinitely many metrics  $\bar{h}_u$  on  $\mathbb{S}^3$  such that  $\{M_{f(\beta)} : f \in G\}$  are embedded minimal tori in  $(\mathbb{S}^3, \bar{h}_u)$  which are pairwise non congruent.*

**Proof.** Set  $G = \{f_1 = I, f_2, \dots, f_m\}$ . Let  $\{u_1, \dots, u_m\}$  be pairwise distinct real numbers and choose a positive smooth function  $u$  on  $\mathbb{S}^2$  such that  $u|_{f_j(\beta)} = u_j$ ,  $j = 1, \dots, m$ . Then  $M_{f_j(\beta)}$ , equipped with the  $\bar{h}_u$ -induced metric, is a flat torus. Furthermore, its isometry type is  $\mathbb{R}^2/\Gamma_j$ , where  $\Gamma_j = \text{span}\{(0, 2\pi u_j), (L, 2\pi A)\}$ ,  $L$  being the length of  $\beta$  and  $A$  the area enclosed by  $\beta$  in  $\mathbb{S}^2$ . Since  $\{u_j\}$  are pairwise distinct,  $\{M_{f_j(\beta)}\}$  are also pairwise non congruent. Next we choose the function  $u$  such that  $\xi(\log u) = \kappa$  along  $\beta$ , where  $\kappa$  stands for the curvature function of  $\beta$  in  $\mathbb{S}^2$  and  $\xi$  denotes its unit normal vector field. Said otherwise, we take  $u$  in such a way that  $\beta$  is a geodesic of  $(\mathbb{S}^2, u^2 h)$ , where  $h$  is the standard metric on  $\mathbb{S}^2$ . Therefore  $\{M_{f_j(\beta)}\}$  are minimally embedded in  $(\mathbb{S}^3, \bar{h}_u)$ .

The following result should be compared with the above theorem, as well as with the solution of Lawson's conjecture [1] and the results contained in [4] and [7].

**Theorem 3.2** *There exists a metric  $\bar{h}_u$  on  $\mathbb{S}^3$  such that  $(\mathbb{S}^3, \bar{h}_u)$  admits a foliation, with a pair of singularities, whose leaves are flat embedded minimal tori.*

**Proof.** Let  $B(p_0, \delta)$  be a small geodesic ball centered at  $p_0 = (0, 0, 1)$  in  $\mathbb{S}^2$  and take a positive smooth function  $u$  on  $\mathbb{S}^2$  such that  $u$  restricted to  $\mathcal{W} = \mathbb{S}^2 \setminus \{B(p_0, \delta), B(-p_0, \delta)\}$  is  $u(x) = x_1^2 + x_2^2$ . For any  $p \in \mathcal{W}$ , let  $\gamma_p$  be the parallel through  $p$  and let  $\kappa_p$  be the curvature of  $\gamma_p$  in  $\mathbb{S}^2$ . It is clear that  $u$  is constant along any parallel  $\gamma_p$ . Furthermore

$$\xi_p(\log u) = \kappa_p.$$

As a consequence,  $M_{\gamma_p}$  is a flat torus which is minimal in  $(\mathbb{S}^3, \bar{h}_u)$ . Finally, notice that  $\delta$  can be chosen to be as small as we wish.

## Bibliography

- [1] F.A. Amaral. Toros Mínicos Mergulhados em  $\mathbb{S}^3$ . Ph. D. thesis, Rio de Janeiro, 1997.

- [2] M. Barros, A. Ferrández, P. Lucas and M. Meroño. Solutions of the Betchov-Da Rios soliton equation in the anti-De Sitter 3-space. En ‘New Approaches in Nonlinear Analysis’, ed. Th. M. Rassias, Hadronic Press Inc., Palm Harbor, Florida, pp. 51–71, 1999. ISBN: 1-57485-042-3/pbk.
- [3] A. Besse. Einstein manifolds. *Ergebnisse der Mathematik und ihrer Grenzgebiete*. Springer-Verlag, 1987.
- [4] H.I. Choi and R. Schoen. The space of minimal embedding of a surface in a three-dimensional manifold of positive Ricci curvature. *Invent. Math.* **81** (1985), 387–394.
- [5] W. Greub, S. Halperin and R. Vanstone. *Connections, Curvature and Cohomology*. Academic Press, 1973.
- [6] N. Hitchin. Harmonic Spinors. *Adv. in Math.* **14** (1974), 1–55.
- [7] C.-C. Hsieh and A.N. Wang. Minimal tori in  $\mathbb{S}^2 \times \mathbb{S}^1$ . *Proc. Amer. Math. Soc.*, **122** (1994), 323–324.
- [8] H.B. Lawson. Local rigidity theorems for minimal hypersurfaces. *Ann. of Math.*, **89** (1969), 187–197.
- [9] H.B. Lawson. Complete minimal surfaces in  $\mathbb{S}^3$ . *Ann. of Math.*, **92** (1970), 355–374.
- [10] L. Lusternik et L. Schnirelmann. Sur le problème de trois géodésiques fermées sur les surfaces de genre 0. *C. R. Acad. Sci. Paris*, **189** (1929), 269–271.
- [11] H. Mori The first eigenvalues of Laplacian on minimal surfaces in  $\mathbb{S}^3$ . *J. Math. Soc. Japan*, **37** (1985), 79–86.
- [12] P. Tomter. Constant mean curvature surfaces in the Heisenberg group. *Proceedings of Symposia in Pure Mathematics*, **54** (1993), Part I, 485–495.